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Docket: MA9658DIV5

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: /  
Fraser et al. / Group Art Unit: 1632  
Serial No: 10/614,643 /  
Filed: July 7, 2003 / Examiner: unknown  
FOR: SYSTEMS AND METHODS FOR /  
TREATING PATIENTS WITH /  
PROCESSED LIPOASPIRATE CELLS /

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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STOUT, UXA, BUYAN & MULLINS, LLP

  
Kenton R. Mullins, Reg. No. 36,331


**TRANSMITTAL**

Sir:

Submitted herewith are

- ~ Return Receipt postcard;
- ~ Information Disclosure Statement;
- ~ PTO-1449 21 Sheets;
- ~ The Commissioner is hereby authorized to charge any needed fees to deposit account 50-1600.

Respectfully submitted,

  
Kenton R. Mullins  
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INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. 1.56 and 1.97, Applicants wish to call the attention of the Examiner to the references that are listed on the attached PTO form 1449. In accordance with 37 .F.R. section 198(d)(1)(2), copies of all 291 references in the enclosed PTO form 1449 are not provided as they have previously been provided in U.S. Serial No. 10/316,127 filed December 9, 2002, for which the subject application claims priority

Applicants respectfully request that the cited references be listed on the face of any patent issuing from this application.

These citations do not constitute an admission that the references are relevant or material to the claims, but rather only constitute the closest art of which Applicants are presently aware.

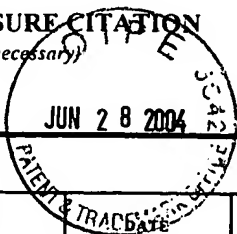
Respectfully Submitted

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						YES	NO
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MA96580US

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Docket Number (Optional)

MA9658D1U

Application Number

10614, 643

Applicant(s)

Fraser et al.

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7/7/03

Group Art Unit

1632

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<b>FORM 1449*</b>  <b>INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION</b>  (Use several sheets if necessary)	Docket Number MA9658 DIV 5	Application Number 10/b14, 643
	Applicant Fraser et al.	
	Filing Date 7/7/03	Group Art Unit 1632

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	5,827,740	October 27, 1998 (EXHIBIT 3)	Pittenger			
	5,827,897	October 27, 1998 (EXHIBIT 4)	Ailhaud, et al.			

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\*Substitute Disclosure Statement Form (PTO-1449) Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Fraser et al.

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FORM 1449\*

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MA965801V5

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Applicant

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**U.S. PATENT DOCUMENTS**

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	5,786,207 (Exhibit 20)	July 28, 1998	Katz, et al.			
	5,827,735 (Exhibit 21)	October 27, 1998	Young, et al.			
	5,827,740 (Exhibit 22)	October 27, 1998	Pittenger			
	5,906,934 (Exhibit 23)	May 25, 1999	Grande, et al.			
	5,908,784 (Exhibit 24)	June 1, 1999	Johnstone et al.			
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**FOREIGN PATENT DOCUMENTS**

DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
					YES	NO

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<b>FORM 1449*</b>  <b>INFORMATION DISCLOSURE STATEMENT</b> <b>IN AN APPLICATION</b>  (Use several sheets if necessary)	Docket Number <b>MA9658 DUS</b>	Application Number <b>10/614,643</b>
	Applicant <b>Fraser et al.</b>	
	Filing Date <b>7/7/03</b>	Group Art Unit <b>1632</b>

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	<b>Applicant</b> Fraser et al.	
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						YES	NO
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	WO97/40137 (Exhibit 112)	10/30/97	PCT				X
	WO97/41208 (Exhibit 113)	11/06/97	PCT				X
	WO98/20731 (Exhibit 114)	05/22/98	PCT				X
	WO98/32333 (Exhibit 115)	07/30/98	PCT				X
	WO98/51317 (Exhibit 116)	11/19/98	PCT				X
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\*Substitute Disclosure Statement Form (PTO-1449)

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

<b>FORM 1449*</b>  <b>INFORMATION DISCLOSURE STATEMENT</b> <b>IN AN APPLICATION</b>  (Use several sheets if necessary)	Docket Number MA9658D105	Application Number 10/614,643
	Applicant Fraser et al.	
	Filing Date 7/7/03	Group Art Unit 1632

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FORM 1449\*

**INFORMATION DISCLOSURE STATEMENT  
IN AN APPLICATION**

(Use several sheets if necessary)

Docket Number

MA9658 DW5

Application Number

10/614, 643

Applicant

Fraser et al.

Filing Date

7/7/03

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(Use several sheets if necessary)

**Docket Number**

MA9658D1U5

Application Number

10/614,643

**Applicant**

Fraser et al.

Filing Date

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### Group Art Unit

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## FOREIGN PATENT DOCUMENTS

**DOCUMENT NO.**

DATE \_\_\_\_\_

COUNTRY

**CLASS**

SUBCLASS

TRANSLATION

YES	NO
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	Applicant <b>Fraser et al.</b>	
	Filing Date <b>7/7/03</b>	Group Art Unit <b>1632</b>

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EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,854,292	December 29, 1998 (Exhibit 235)	Ailhaud et al.			

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	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 99/28444 (Exhibit 223)	June 10, 1999	PCT				
	WO 99/02654 (Exhibit 224)	January 21, 1999	PCT				
	WO 00/53795 (Exhibit 231)	September 14, 2000	PCT				
	WO 01/62901 A2 (Exhibit 232)	August 30, 2001	PCT				
	WO 01/21767 (Exhibit 233)	March 29, 2001	PCT				
	WO 97/26326 (Exhibit 236)	July 24, 1997	PCT				

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